### PATENT COOPERATION TREATY

From the INTERNATIONAL PRELIMINARY EXAMINE To: **PCT** JAMES G. MARKEY JONES DAY NOTIFICATION OF TRANSMITTAL OF 6 2005 222 EAST 41ST STREET INTERNATIONAL PRELIMINARY NEW YORK, NY 10017 REPORT ON PATAENTABILITY (Chapter II of the Patent Cooperation Treaty) JONES DAY (PCT Rule 71.1) Date of mailing **68 JUN 2005** (day/month/year) Applicant's or agent's file reference IMPORTANT NOTIFICATION 6750-147-228 International filing date (day/month/year) Priority date (day/month/year) International application No. PCT/US04/12776 21 April 2003 (21.04.2003) 21 April 2004 (21.04.2004) **Applicant** EURO-CELTIQUE, S.A.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary report on patentability and its annexes, if any, established on the international application.
- A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed invention is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

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Form PCT/IPEA/416 (January 2004)

# PATENT COOPERATION TREATY

## **PCT**

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference		FOR FURTHER AC	TION	See Form PCT/IPEA/416			
International application No.		International filing date	(day/month/year)	Priority date (day/month/year)			
PCT/US04/12776		21 April 2004 (21.04.200	04)	21 April 2003 (21.04.2003)			
International Patent Classification (IPC) or national classification and IPC							
IPC(7): A61K 9/20, 9/14 and US Cl.: 424/464, 465, 489							
Applicant							
EURO-CELTIQUE, S.A.							
<ol> <li>This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</li> </ol>							
2. This	2. This REPORT consists of a total of 3 sheets, including this cover sheet.						
3. This report is also accompanied by ANNEXES, comprising:							
a. (sent to the applicant and to the International Bureau) a total of sheets, as follows:							
sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).							
sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.							
Ъ. [				d number of electronic carrier(s))			
, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).							
4. This report contains indications relating to the following items:							
Box No. I Basis of the report							
	Box No. II Priority						
	Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability						
	Box No. IV Lack of unity of invention						
$\boxtimes$	Box No. V Re	easoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability, citations and explanations supporting such statement					
		rtain documents cited	ations and explanation	5 Supporting Such Sustainers			
	Box No. VII Ce	ertain defects in the international application					
	Box No. VIII Ces	rtain observations on the international application					
Date of submission of the demand			Date of completion	of this report			
16 November 2004 (16.11.2004)			30 April 2005 (30.04.2	2005)			
Name and mailing address of the IPEA/US  Mail Stop PCT, Attn: IPEA/US			Authorized officer	2. 11 Him 1			
Commissioner for Patents			Humera N. Sheikh	Bell-Harris for			
P.O. Box 1450 Alexandria, Virginia 22313-1450			Telephone No. (571)2	•			
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Form PCT/IPEA/409 (cover sheet)(January 2004)

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/US04/12776

Box No. I Basis of the report						
1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.						
This report is based on translations from the original language into the following language, which is the language of a translation furnished for the purposes of:						
international search (under Rules 12.3 and 23.1(b))	international search (under Rules 12.3 and 23.1(b))					
publication of the international application (under Rule 12.4)	publication of the international application (under Rule 12.4)					
international preliminary examination (under Rules 55.2 and/or 55.3)						
2. With regard to the elements of the international application, this report is based on (replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report):						
the international application as originally filed/furnished						
the description:						
pages 1-33 as originally filed/furnished						
pages* NONE received by this Authority on						
pages* NONE received by this Authority on						
the claims:						
pages 34-39 as originally filed/furnished						
pages* NONE as amended (together with any statement) under Article 19						
pages* NONE received by this Authority on						
pages* NONE received by this Authority on						
the drawings:						
pages 1-1 as originally filed/furnished						
pages* NONE received by this Authority on						
pages* NONE received by this Authority on						
a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.						
3. The amendments have resulted in the cancellation of:	amendments have resulted in the cancellation of:					
the description, pages NONE						
the claims, Nos. NONE						
the claims, Nos. NONE  the drawings, sheets/figs NONE						
the sequence listing (specify):						
any table(s) related to the sequence listing (specify):						
4. This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).						
the description, pages						
the claims, Nos.						
the drawings, sheets/figs						
the sequence listing (specify):						
any table(s) related to the sequence listing (specify):						
* If item 4 applies, some or all of those sheets may be marked "superseded."						

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/US04/12776

	icle 35(2) with regard to novelty lanations supporting such state	•
1. Statement		
Novelty (N)	Claims 1-46	YES
	Claims NONE	NO
Inventive Step (IS)	Claims 1-46	YES
mvenuve step (m)	Claims <u>140</u> Claims <u>NONE</u>	NO NO
T		. SZDC
Industrial Applicability (IA)	Claims <u>1-46</u> Claims <u>NONE</u>	YES NO
<del></del>	Clamb HORE	, + 1 V
2. Citations and Explanations (Rule 70.7) Claims 1-46 meet the criteria of PCT Article 33(2)-3 agent particle having the instantly claimed limitation	3(3) since the prior art does not discles.	ose nor fairly suggest a co-extruded adverse
Claims 1-46 meet the criteria of PCT Article 33(4) si	nce the claimed system has industrial	applicability in drug delivery applications.
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